# Code of Ethics And Business Conduct

**U**unisys

## A letter from our CEO

Peter Altabef

Integrity is at the heart of Unisys. It informs everything we do: every decision, every action, and every relationship. We will not sacrifice our integrity for short-term gains, nor can we bow to pressure from colleagues or business partners.

While we count on every associate to act with integrity, we also understand that, in some cases, the correct decision is not immediately apparent. That is why we provide this Code of Ethics and Business Conduct. It maps out the key principles that guide our business activity and enable you to make the right ethical decisions.

We do not tolerate harassment or discrimination of any kind.

Our Code applies to all associates and leaders at Unisys, and all of us must familiarize ourselves with it. Speak up if you are unclear about a decision you need to make or have a concern about suspected violations. Our support for a speak-up culture is unwavering. We have zero tolerance for retribution against those who come forward.

In a way, our Code is an extension of our culture. We are committed to creating a culture where associates use curiosity and creativity to progress and win in the market, but always in an ethical way.

Nothing is more important than our reputation for integrity. Our shared commitment to act ethically is a core strength and one of our greatest assets.



Peter Altabef
Chair and Chief Executive Officer

Table of <b>Con</b> t	tents

A Letter From Our CEO	
Our Values and Heritage	
How to Use This Code	
Integrity Is in Our Code	
Every Associate's Responsibility	
Every Leader's Responsibility	
Making Ethical Decisions	
Working with Third Parties	10
Integrity in Our Workplace	
Diversity, Equity, Inclusion, and a Safe and Respectful Workplace	
What is Bullying?	13
Integrity in Our Business Dealings	
Compete Fairly	
Improper Payments and Benefits, Bribery and Corruption	
Offering or Accepting Business Courtesies	
Navigating Business Courtesies	
Conflicts of Interest	20
Integrity With Assets and Information	
Use of Unisys Property and Resources	
Confidential Information and Intellectual	
Property	
Personal Data	
Data Privacy Principles	
Records Management	
Accurate Financial, Costs and	
Timekeeping Records	
Responsible Use of Al and Information Security	28

Integrity With Society and Governments	
Interactions with the Public Sector and Government Officials	30
Political Activities	3
External Communications and Social Media	32
Charitable Contributions	
Insider Trading	34
Conflict Minerals and Human Rights	
<b>Export Controls and Trade Sanctions</b>	36
Anti-money Laundering	
Environment	38
Compliance With Our Code	39
Conducting our Business with Integrity	
Speaking Up	4
Investigations	42
Oversight	43
How to Contact the Ethics & Compliance Office	44





Our Workplace Our Business Dealings Our Assets and Information

Society and Governments

Compliance and Speaking Up



## Our

## Values and Heritage

Unisys is a global technology solutions company that, for more than 150 years, has been dedicated to helping people and organizations reach the next breakthrough. And the one after that.

Across regions, industries and contexts, we apply specialized expertise and advanced technologies to strengthen and transform teams and processes. We change how people experience technology and help organizations act upon new opportunities through digital workplace, cloud, applications, enterprise computing and business process solutions.

How do we do it? We relentlessly reimagine the status quo to propel people and organizations forward.

Aspirational behaviors deliver on our purpose:

**Explore:** We tap into our innate curiosity to build new skills.

**Experiment:** We reimagine the status quo.

Act with agility: We identify opportunities for action.

**Take ownership:** We operate with accountability to foster trust and power progress.

For a detailed Values and Heritage page, please refer to Company History.



Celebrated 150 years of breaking barriers with innovative technology that transforms organisations.



## Gwendolyn Lee Hassan

## Deputy Chief Compliance Officer

Our Code sets out our fundamental principles across a wide range of risk areas, why they are important and what is expected from all of us to manage those risks. Although we follow local laws and regulations when they set a higher standard than our Code, you should consider this document the first step on a pathway that begins with asking the right question and finding the right answer to guide your business decisions and actions.

In each section, look for the icons with links to more guidance: related polices, frequently asked questions and other resources.









Re

Resources

The Ethics & Compliance Office is also at your disposal, whether you contact us directly or via the Helpline. You will find contact details at the end of this Code, or via the Helpline icon on the tool bar.



Leaders, you will find additional expectations associated with your elevated responsibilities in the organization. Ethical leadership is a key contributor to an ethical culture; we cannot do it without your active involvement. Look for the blue boxes and this symbol for more information:



Speak up – ask questions – get answers – take the right course of action.



**Gwendolyn Lee Hassan**Deputy Chief Compliance Officer

Integrity Is in Our Code

We are honest in all our dealings and stand for what is right.





## Every Associate's

## Responsibility

Compliance. Ethics. Integrity. These are all just words unless every associate at Unisys owns and accepts accountability for them. As a Unisys associate or Board member you are expected to comply with our Code, with Unisys policies and procedures, and with the law.

### Associates and Board members must:

- Read, understand and commit to act in compliance with our Code and the policies, laws and regulations that apply to your job.
- Speak up when you observe, learn about or suspect violations of our Code or related policies.
- Cooperate in any internal investigations.
- Complete all assigned mandatory training on ethics and compliance issues.

















## Every Leader's

## Responsibility

As a Unisys leader, you are held to an even higher standard, and you have a special responsibility to lead with integrity. You must model ethical behavior and take active measures to make certain your team does, too. If you communicate and listen to your team and handle concerns and different opinions with ethical compassion and understanding, you will build trust, promote productivity and protect the company.

Leaders are expected to understand the risks associated with their organization's business activities and actively seek to mitigate them. These risks arise from the global nature of our business and include areas covered in our Code. Your comprehensive understanding will enable you and your team to take actions that minimize those risks and contribute to overall success.

### Leaders must:

- Identify the risks relevant to your work, regularly discuss them with your team and implement mitigation measures.
- Clearly communicate and demonstrate that efforts to win business must never be compromised by unethical or illegal actions, even if it means losing a business opportunity.
- Complete your compliance training on time, and make sure your team does, too.
- Be approachable make sure your team knows that you will listen and that they can ask questions and raise concerns without fear of retaliation.
- Know how to identify and manage suspected misconduct refer the matter to the Ethics &
   Compliance Office for investigation, and do not attempt to investigate or resolve the issue yourself.











Our Workplace Our Business Dealings Our Assets and Information

Society and Governments

Compliance and Speaking Up



## Making

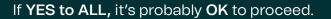
## **Ethical Decisions**

Creating a culture of integrity starts with making good decisions. Ask yourself these questions before taking actions that might compromise our Code and our commitment to conducting our business with integrity:

Making ethical decisions and good choices builds a culture of integrity and a place we all want to work. When we act with integrity, we build trust with each other, our clients and our business partners. When faced with an ethical dilemma or difficult decision, ask yourself the following questions. When in doubt, seek guidance.



Leaders must also seek guidance from the Ethics & Compliance Office or the Legal Department when confronted with an ethical issue on their team.



If **NO to ANY,** it's **NOT OK** to proceed.

Are my actions consistent with our Code, our policies and the law?





Would I be comfortable if my actions were public and my leader, co-workers, family and friends knew about them?





Are my actions in the best interests of Unisys, our reputation and advancing our business interests?

















## Working with

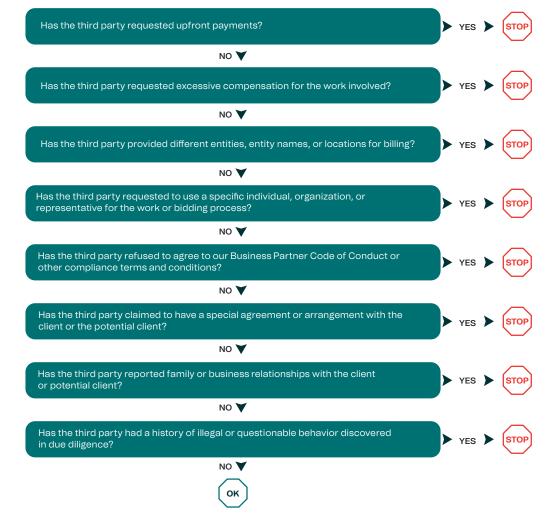
## **Third Parties**

If you regularly supervise, manage or interact with third parties, whether they are consultants, sub-contractors, suppliers, contracted and contingent workforce, or anyone working on our behalf, you should be familiar with our Business Partner Code of Conduct.

### **Associates must:**

- Ensure that third parties receive, acknowledge and follow the Business Partner Code of Conduct.
- Give third parties opportunities to ask questions and raise concerns that help them stay in compliance with that Code, including using the Unisys Helpline.
- Report any suspected violations of our Business Partner Code of Conduct to the Ethics & Compliance Office.

### Can I answer "NO" to all questions in the decision tree?













## Integrity in our Workplace

We value one another by treating everyone with dignity and respect.





Diversity, Equity, Inclusion and a Safe and

## Respectful Workplace

We foster a business environment where we value diverse perspectives and treat our associates, clients and business partners with dignity and respect. We work better together because of our differences, not despite them. We hire, promote and discipline associates without regard to age, race, nationality, gender identity or expression, pregnancy, disability, sexual orientation, religion, veteran status, marital status, citizenship or other protected class. At the same time, we create opportunities for underrepresented populations.

Unisys maintains a respectful, safe and healthy work environment so we can all be productive, creative and successful. Each of us is responsible for ensuring our work environment is safe and free from discrimination, harassment and hostile behavior. Unsafe, offensive, unprofessional or inappropriate behavior has no place at Unisys.

### Associates must:

- · Create and maintain a respectful workplace.
- Engage in active listening; foster open discussions and the free expression of ideas.
- Understand, examine and address explicit, implicit or unconscious biases which may lead to discriminatory behavior.
- Comply with workplace safety regulations, and report any accident or injury sustained on the job to your leader or Human Resources.
- · Never work impaired by drugs or alcohol.



Leaders must also seek opportunities to support diverse teams, develop an inclusive work environment, and foster professional development equity.











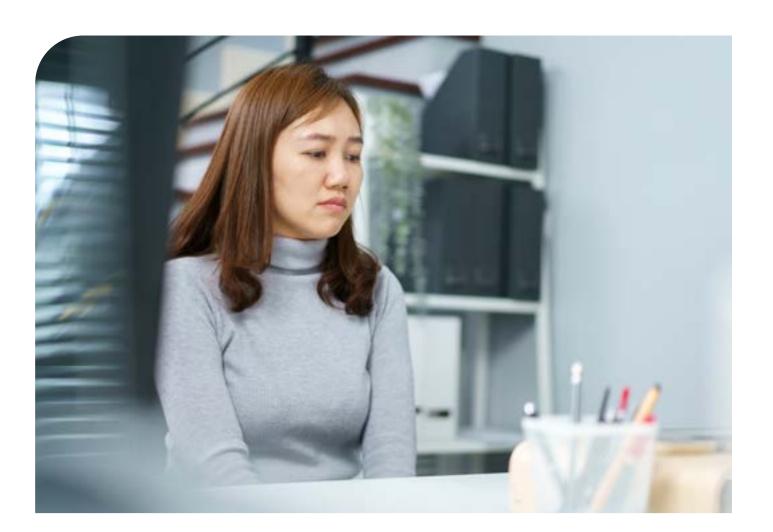


## What is

## **Bullying?**

Bullying is a type of conduct that may or may not be unlawful depending on local law but violates the Unisys Respectful Workplace Policy. Workplace bullying is mistreatment that is considered abusive based on the severity, nature, and frequency of the behavior. Bullying includes but is not limited to: repeatedly making derogatory or insulting remarks or mocking or making fun of someone; using an associate's known physical or psychological disability against them; serious or repeated verbal or physical conduct that could reasonably be considered threatening, intimidating or humiliating; intentionally isolating someone, or sabotaging and undermining their work performance.

Communications with an associate will not be considered bullying solely because they contain criticism or negative feedback. Conduct is not bullying if it is a legitimate, critical performance assessment, provided it is delivered professionally, privately where possible, and in a respectful manner.







Diversity, Equity, Inclusion and a Safe and

## Respectful Workplace

### **Avoid Stereotyping**

Avoid judgments based on race, nationality, gender, sexual orientation or age - keep an open mind.

Treat others the way that you want to be treated - be honest.

**Promote Cross-Cultural** Understanding Be sensitive to communication styles and time zone differences - embrace our global team.



### SHOW RESPECT AND **EMBRACE** (4) DIVERSITY

### Be Supportive

Offer encouragement, and non-critical ways for improvement - be helpful.

### **Be Courteous**

Never use profanity, make insulting remarks or use inappropriate gestures - be respectful.



## **Show Empathy**

Be sensitive to those having difficulties - be a good listener.

### Value Each Individual

Accept associates' different cultures, styles, personalities and values - be inclusive.

## What Harassment Looks Like

## Sexual Harassment

Unwelcome touching, advances, obscene gestures.



conversation, jokes, whistling, repeated invitations for date despite refusals.

Requests, threats or demands for sexual favors in exchange for continued employment or promotions.



Visual images that are sexual in nature.

Taking work away or allocating more work, more difficult tasks or more shifts after a refusal of sexual advance.



## Harassment

Assault or threats of violence.

Derogatory remarks, joking, teasing and commenting about sensitive and/or controversial subjects.





Requests, threats or demands in exchange for continued employment or promotions or to avoid negative consequence.

Visual images that are demeaning or discriminatory.





Allocating more work, more difficult tasks or more shifts and exclusion from meetings and team activities.







## Compete

## **Fairly**

Unisys competes in the world marketplace vigorously but fairly. We do not engage in unfair or anti-competitive behavior, nor do we make untruthful statements about our products and services or those of our competitors.

There are laws designed to promote competition in the marketplace. They provide a fair landscape for companies to compete, whether with each other or in the supply chain, and offer consumers a choice. These laws prohibit activities such as bid rigging, price fixing, dividing or allocating market segments or territories and any interaction with competitors that might be seen to limit competition. Even making informal arrangements or sharing information about our products and services with competitors can violate these laws.

- Avoid any formal or informal agreements with competitors that may appear to limit competition.
- Respect competitive bidding processes and be transparent if there are conflicts or other issues that might be viewed as interference.
- Limit contact with our competitors, immediately end any discussions regarding pricing or other inappropriate matters and report incidents to the Legal Department.
- Avoid dictating the prices that our independent partners charge their customers.
- Source competitive intelligence appropriately, honestly and transparently. If you gain access to confidential information from a competitor, contact the Legal Department.















Improper Payments and Benefits,

## **Bribery and Corruption**

At Unisys, we are transparent in our interactions with our business partners, and we do not influence their decisions by offering or making bribes or improper payments, promises or other arrangements. In addition to betraying our commitment to conduct our business with integrity, these actions violate national and international laws and may be a serious criminal offense for companies and individuals.

Unisys strictly prohibits offering, promising or giving anything of value to a government official or to a business partner with the intent to keep or get business, influence a business decision or action, or for any other improper purpose. The third parties we engage are also prohibited from such activities; others cannot bribe on our behalf.

We cannot make cash payments, promises of some future benefit or discounting or kickback arrangements, all of which may be considered a bribe or other corrupt action.

National and other laws define who are government officials. They may include employees or officers of government institutions, as well as employees of state-owned companies or public international organizations.

- Be able to identify whether a business partner or other third party may be considered a government official.
- Work only with third parties, agents, consultants and advisors who have undergone due diligence and who include best practices in compensation and other contract terms.
- · Offer a gift, hospitality or travel courtesy that is reasonable, and appropriate.
- Take actions such as making charitable donations, hiring employees or engaging third parties only during the normal course of business, not as part of an exchange for a favor.
- Accurately describe payments or expenses in our books and records.













## Offering or Accepting

## **Business Courtesies**

Gifts, meals or other courtesies are an important part of developing goodwill, and they can play a role in enhancing business relationships. If they are or could be considered lavish or inappropriate, if they lack transparency or if they are unrelated to a legitimate business purpose, however, they may cause concern about corruption and even be characterized as bribes, which can lead to legal consequences for the individual and/or Unisys.

Exercising good judgment and moderation is critical for ensuring that business courtesies are appropriate and within established limits. Associates must make sure that they do not violate Unisys policies or those of the intended recipient's organization.

### Associates must:

- Check any restrictions in the organization of the intended recipient before offering a business courtesy.
- · Learn and follow the limits set out in the Gifts & Entertainment Policy.
- Obtain prior approvals where required, particularly before offering a business courtesy to a government official or any public sector client.
- Ensure a business courtesy is appropriate to the occasion and business relationship. Branded Unisys items are preferable to an unbranded gift.
- Keep track of and limit the cumulative value of business courtesies offered to a single individual over the course of the year.
- Politely refuse or return anything that violates our Code or policies.



**Leaders must also** closely monitor the business courtesies expenses that you approve and ask questions when you are unsure whether an expense is within policy limits.











Our Workplace

Our Business Dealings Our Assets and Information

Society and Governments

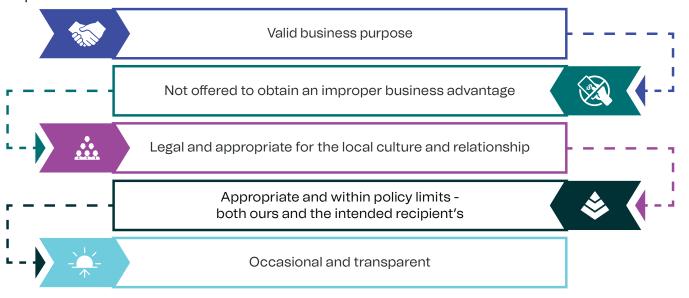
Compliance and Speaking Up



## Navigating

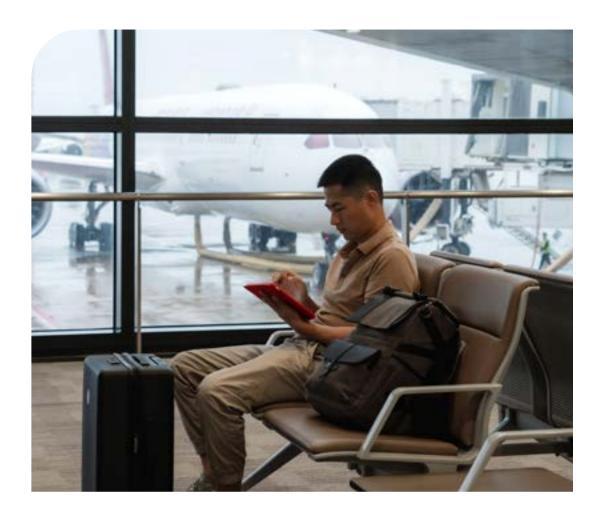
## **Business Courtesies**

Exchanging business courtesies with our clients, partners and suppliers can build goodwill and strengthen relationships if done with respect. Follow these tips to respect the laws and the rules applicable for most companies.





MAY I KEEP IT? YES, you may accept gifts as long as they are not intended to influence your business judgment and they comply with our policy and guidelines. They must be of nominal value, appropriate and infrequent. You may never accept cash. You may accept reimbursement for lodging or travel expenses ONLY with approval from your leader and the Ethics & Compliance Office.







Our Workplace Our Business Dealings Our Assets and Information

Society and Governments

Compliance and Speaking Up



## Conflicts of

## Interest

Sometimes our personal interests and the interests of Unisys can come into conflict. It is important that all associates avoid even the appearance of a conflict between their personal interests and Unisys' interests.

Potential conflict situations do arise and are not automatically evidence of wrongdoing. In most cases, when potential conflicts are disclosed promptly, they can be resolved with little or no further action. Associate disclosure allows us to navigate the situation together in a way that protects both the associate and the company, while being as transparent as possible about our business dealings.

### Associates must:

- Be able to identify a conflict of interest as it arises.
- Avoid conflicts where possible.
- Promptly (and in advance, where possible) disclose a potential conflict to obtain clearance from the Ethics & Compliance Office and approval from your leader.
- Manage and follow any conditions related to a cleared conflict.



**Leaders must also** understand the criteria for approving a conflict of interest and monitor any cleared conflicts which may have an impact on performance.

### **Personal Relationships**

This includes family, romantic relationships outside work, and close friends who might influence your business decisions.

### Board Memberships, Advisory and Industry Association Boards

These can give rise to conflicting loyalties and obligations.

### Gifts, Entertainment, or Other Business Courtesies

Accepting gifts, entertainment or business courtesies which might influence or be seen to influence your business judgment.

## 遵

000

### Other Outside Activities

Community involvement, public service, and charitable activities when undertaken as a regular activity involving significant time.



## What is a Conflict of Interest?

When your personal interest conflicts with your job responsibilities or the best interest of Unisys you need to make a disclosure using the COI Disclosure tool.



**Outside Employment** 

or commercializing an idea.

and Business Activities

This includes any outside employment

or activity like starting a small business

Business Opportunities
Opportunities you identify may
belong to Unisys and not to you.

### Romantic Relationships at Work

Romantic relationships can impact judgment, raise concerns about favoritism or even compromise our confidential information.

## Financial Interests Any investment of note in a

competitor, customer, supplier or should be disclosed.



It's natural to develop good working relationships with business partners but these can also cause a conflict.















Our Workplace Our Business Dealings Our Assets and Information

Society and Governments

Compliance and Speaking Up



## Use of Unisys

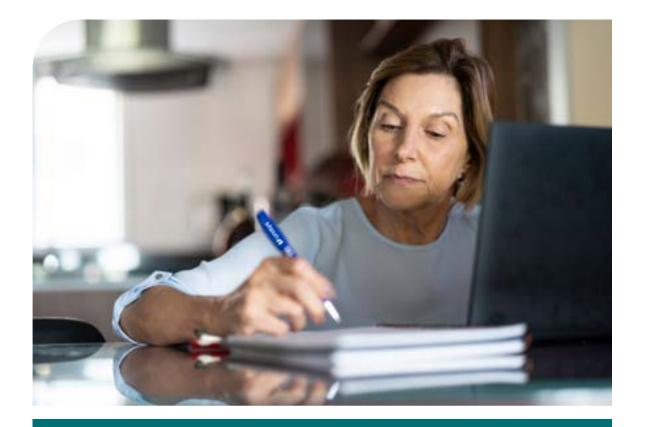
## **Property and Resources**

Unisys property and resources are intended to help associates achieve business objectives. Associates must protect and use company property and resources responsibly and honestly. Unisys does not tolerate careless, inefficient, fraudulent or illegal use of company property or resources, including budgeted funds and time.

Company-provided devices and access to systems are for Unisys business only and should never be used for illegal activities, gambling, viewing or sending obscene, hateful or disrespectful material or images, or uploading or downloading software that is copyright protected or subject to export controls.

### **Associates must:**

- Spend Unisys funds wisely, as if they were your own, guard against waste and abuse.
- Be honest and accurate in your time and expense reporting.
- Be alert to situations or incidents that could lead to the loss, misuse or theft of company resources or property.
- Use Unisys resources, like company computer equipment, phones and internet systems, only for legitimate Unisys business activities.





Leaders must also be accountable for their team's proper management and use of company assets and should carefully review expense reports, asset allocation and management.











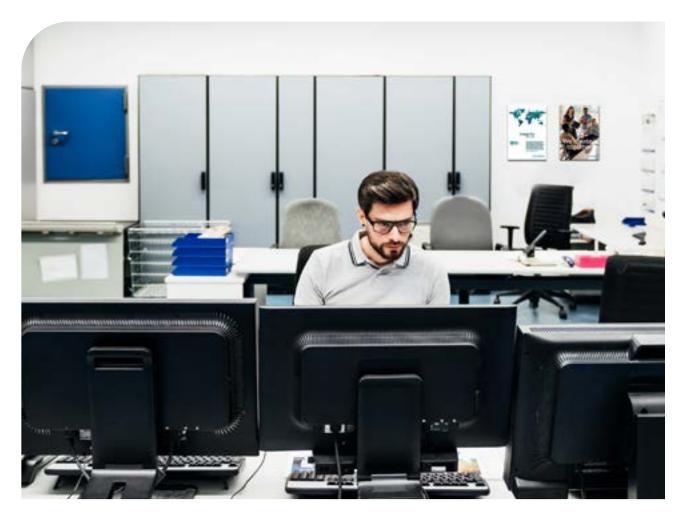


## **Confidential Information**

## And Intellectual Property

Unisys information, trade secrets and intellectual property are valuable assets that demand protection. We could lose our competitive advantage if our confidential information is disclosed outside of the company. We must also respect the confidential information and intellectual property of others. This includes protecting information our business partners share with us. Safeguarding information and ideas, whether our own or those of others, is crucial to our business success and our reputation as a trusted partner.

- Never discuss confidential information in public spaces and share it only with those who are authorized or need to know it.
- Use confidential information only for Unisys business, and maintain confidentiality even when your employment with Unisys ends.
- Secure Unisys intellectual property rights through copyrights, trademarks and other forms of intellectual property to protect the value of our innovation.
- Protect confidential information by using correct classification, encryption and other methods.
- Do not solicit or use the confidential information or intellectual property of others without license or permission.















Our Workplace Our Business Dealings Our Assets and Information

Society and Governments

Compliance and Speaking Up



## Personal

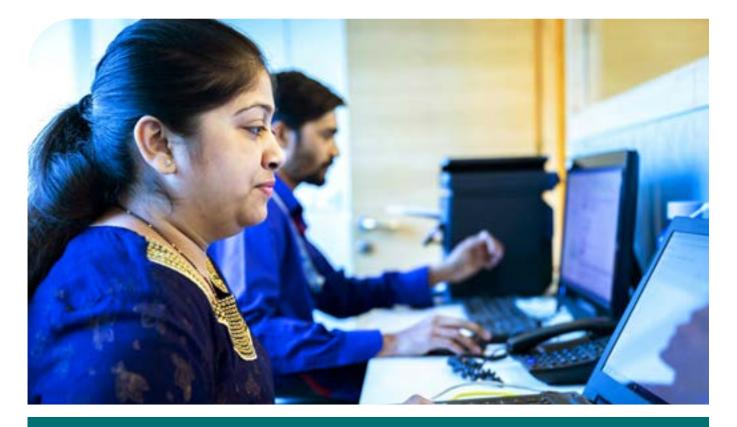
## Data

The appropriate use and protection of personal data is critical to Unisys, whether the data belongs to our associates or is entrusted to us by our clients, business partners and other third parties. Maintaining the integrity and confidentiality of personal data that we collect, process, store and transfer is how we build and maintain the trust that is essential to the way we conduct our business activities.

We operate using established principles to embed "privacy by design" into our operations, products and services.

### Associates must:

- Identify the information in your business activities that is considered personal data, learn the requirements for its use or management and allow access only to those who need to know.
- Provide clear and accurate privacy notices when collecting or processing personal data.
- · Collect, use and share only the data that is needed for a specified business purpose.
- Apply appropriate records management rules for the storage and destruction of the data.
- Incorporate privacy considerations in the design or planning of any solution or project.





**Leaders must also** collaborate with the Privacy Office to keep current on privacy developments related to their work and to identify areas that may require input or support.











Our Workplace Our Business Dealings Our Assets and Information

Society and Governments

Compliance and Speaking Up

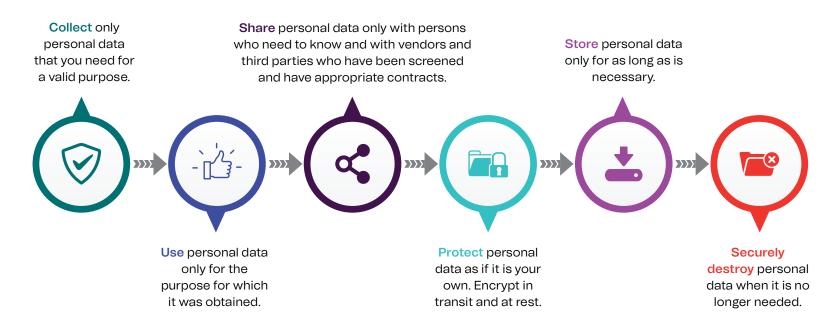


## Principles

While the global regulatory environment for data privacy is constantly evolving, there are some fundamental principles that apply regardless.

You have a responsibility to protect the personal data of our clients and associates. Our clients take privacy very seriously, and so does Unisys.

### Follow these data principles:



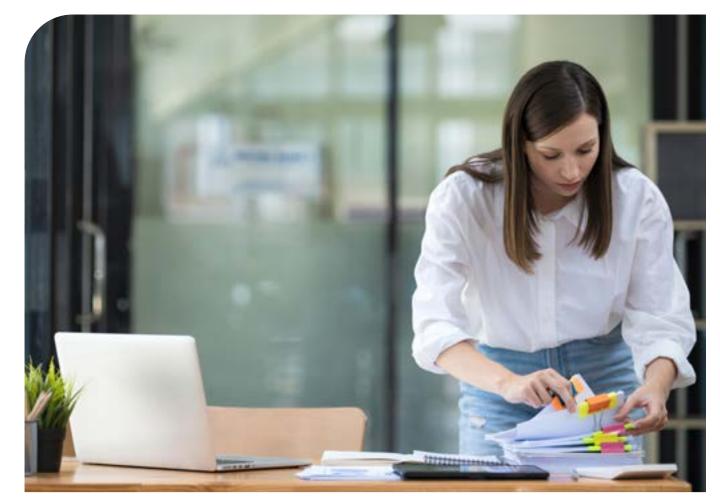


## Records

## Management

Unisys records, including any written, printed or electronic data, are a valuable company resource, whether they are structured or unstructured. Unisys records must be managed and controlled throughout their life cycle according to our policies, procedures and retention schedules. Records created or collected by Unisys associates are Unisys property and should be respected and managed accordingly.

- Keep records only as long as needed for business purposes, then destroy or archive them according to our Global Records Retention Schedule (GRRS). Refer to the GRRS to determine retention based on the type of record and jurisdiction (location).
- Safeguard confidential information by marking it appropriately, encrypting it, or storing
  it securely when necessary. Utilize InfoSec Std1.6 Data Classification to correctly classify
  information and refer to the information lifecycle for guidance on proper handling
  throughout the record's existence.
- Preserve records that are subject to a "legal hold" or part of an investigation.
- Take responsibility and regularly review the records you create to ensure compliance. Reference the information lifecycle to understand the steps from creation to disposition. If in doubt, refer to our Global Records Retention Schedule (GRRS) for guidance.















## Accurate Financial, Costs and

## **Timekeeping Records**

We maintain policies and procedures that help ensure the accuracy of our financial reporting and honor our commitment to stakeholders. Accurate and complete records are the foundation of the Unisys financial reporting and controls process.

- Ensure that Unisys payments and transactions are properly approved and recorded on Unisys books.
- Comply with applicable accounting requirements in each country where Unisys does business.
- Ensure that your time records are current, that they reflect the hours that you worked and that the time is charged to the correct code.
- · Accurately and honestly charge costs, including in your expense statements.
- File accurate and timely financial disclosures and reports to the U.S. Securities and Exchange Commission and other national and international regulators.

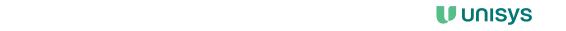














## Responsible Use of Al and

## **Information Security**

As a company that provides security solutions to our clients, security is part of our DNA. Everyone has a responsibility to protect Unisys data and that of our clients. Remember that we are all responsible for cybersecurity, and we are all obligated to maintain information security by complying with the relevant laws and Unisys Information Security Policies.

### **Associates must:**

- Protect and never share your password.
- Create and maintain complex passwords.
- Identify phishing and social engineering attempts, and immediately report suspicious activity to Information Security.
- · Safeguard Unisys or client data and not store it on personal or removable devices.

## Responsible use of Artificial Intelligence

Unisys is committed to the ethical use of artificial intelligence ("AI"). This means we expect our Business Partners to be responsible for the governance, design, development, monitoring, and performance of their AI systems used on Unisys behalf. Business Partners should prioritize human-centric approaches in their processes, establish governance frameworks that are free from bias and uphold honesty and fairness in system decisions, and ensure that their AI systems are safe, secure, auditable, transparent, and explainable.

















Interactions with the Public Sector and

## **Government Officials**

We have special obligations to comply with laws and regulations when we are conducting our business with public sector clients and government officials. These obligations also apply to lobbyists, consultants and other third parties who represent Unisys in the public sector.

- Obtain approval from your Business unit head (for U.S.) and the Ethics & Compliance Office (outside of the U.S.) before hiring a lobbyist, consultant or other third party or joining a trade group that engages with the government.
- Consult with the Legal Department to understand any requirements associated with contracting with United States local, state or federal agencies or other government bodies globally.
- Ensure that any entertainment or business courtesies are in line with Unisys Antibribery and Gifts & Entertainment policies.
- Consult with Human Resources and the Ethics & Compliance Office before hiring a current or former government employee.
- Immediately contact the Ethics & Compliance Office if you receive a request for information from a government agency.















## Political

## **Activities**

Unisys encourages personal participation in the political process in accordance with local, state, federal and international law. Personal activities, including making contributions, should never be represented as coming from Unisys or as reflecting Unisys sponsorship and must be done using your personal time and resources. Unisys does not allow political campaign or partisan political activities at any Unisys workplace or facility or on Unisys media channels. Violations could lead to loss of business, financial penalties and prosecution by state or federal entities.

## **Associates must:**

- Contact the Ethics & Compliance Office if you plan to run for office or actively assist a political campaign.
- Never use Unisys resources, including company computers, telephones or e-mail for political campaigning, fundraising or other political activities.





Leaders must also take care not to directly or indirectly pressure, or allow others to pressure, associates to support, contribute to or campaign for any candidate or party.













## **External Communications and**

## **Social Media**

Our public statements, marketing materials and communications must be honest, accurate and transparent. Only designated associates are authorized to speak on behalf of Unisys with external parties like the media or analysts; others are prohibited from doing so without permission from Corporate Communications.

There are many communication channels, and social media is a key part of our Unisys culture in the ways we connect, network, collaborate and exchange knowledge, expertise and information. It is critical that we exercise good judgment, common sense and courtesy when using all social media platforms. All use of social media by associates must abide by our principles even when posting on personal accounts.

What and how we communicate has an impact on our well-earned reputation.

- Never post items that might violate our Code and policies, including photos or information about our colleagues, posts that disclose confidential information, or messages that might be viewed as harassing or discriminatory.
- Never speak on the company's behalf on any topic unless you receive prior approval. Only authorized individuals can communicate the company's official position on certain topics such as financial performance, strategic business plans, legal matters and public policy issues.
- Remember that you represent Unisys, and consider how your communications support our business, our values and our brand.
- Make sure that any posts clearly indicate you are expressing your own views and not those of Unisys.
- Refrain from posting information about a prospective, current, or former client of Unisys.















## Charitable

## Contributions

While Unisys encourages us to support our communities, any charitable contributions made by the company must be consistent with company practices.

- Obtain necessary approval from the Ethics & Compliance Office before making any contributions.
- · Seek opportunities to take tax deductions for any contributions.
- Take measures necessary to ensure that contributions of hardware comply with Information Security requirements, such as certifying that information has been deleted and that software transfers are in line with licenses.













Our Workplace Our Business Dealings Our Assets and Information

Society and Governments

Compliance and Speaking Up



## Insider

## **Trading**

In your role, you may become aware of material, nonpublic information about Unisys or our business partners.

Information is material if a reasonable investor would consider it important in deciding whether to buy or sell a company's securities. Information is nonpublic if it has not been broadly communicated to the investing public.

It is illegal to trade in Unisys securities or the securities of another company based on material, nonpublic information.

- Never buy or sell any securities based on material, nonpublic information.
- Never give someone else (for example, a friend, spouse or broker) a "tip" regarding material, nonpublic information, or recommend or suggest that anyone trade in material, nonpublic information.















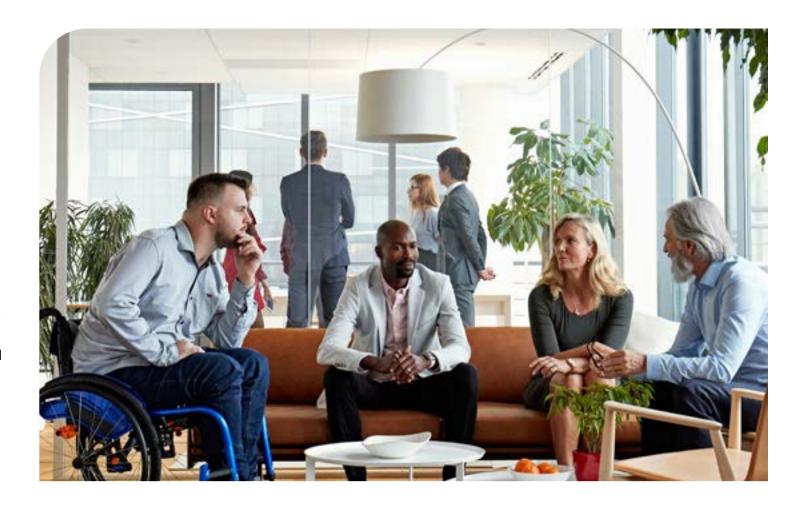
## Conflict Minerals and

## **Human Rights**

Unisys respects fundamental human rights. All forms of slavery, human trafficking, forced labor and child labor are prohibited within Unisys operations, and we make every effort to make certain they are not present in our supply chain.

We are committed to obtaining parts and supplies from businesses that share our values regarding human rights and ethical practices. We adhere to regulations regarding the use of conflict minerals and require the same from our suppliers.

- · Understand the human rights issues that might apply to your activities at Unisys.
- Expect our suppliers to be socially responsible and to comply with laws, regulations and standards related to employment, human trafficking, slavery and the use of conflict minerals.













## **Export Controls and**

## **Trade Sanctions**

We comply with all laws that govern how our technology may be distributed and used internationally. Global trade controls, boycotts, embargoes and other sanctions limit or prohibit us from doing business with restricted entities, such as certain countries, governments and individuals. These rules dictate how we import or export goods, services, software and technologies. As a technology company, we must be particularly responsible with our products and services.

### Associates must:

- Know about the various restrictions on exporting our technology and services to direct customers and end users.
- Collaborate with the Trade Compliance group to obtain any required authorization or license.



Leaders must also conduct appropriate due diligence on business partners and understand the full structure of any deals involving exported technology.















## Anti-money

## Laundering

Unisys complies with anti-money laundering laws to prevent third parties from attempting to conceal the source of illicit funds. We have procedures and conduct due diligence to avoid receiving funds which may be the proceeds of criminal activity.

- Follow onboarding procedures when working with a new business partner.
- Alert the Finance Department to any suspicious transactions or unusual requests from third parties regarding payment structures.















## **Environment**

We incorporate environmental sustainability into what we do, which strengthens our ability to better serve our clients, our associates, our surrounding communities and the environment. We strive to operate our business in a manner that mitigates the potential for adverse environmental impact, promotes the conservation of energy and resources, reduces waste and meets or exceeds governmental environmental requirements.

- Work with our operations group to meet or exceed governmental environmental requirements.
- · Utilize our recycling program to recycle office waste and ensure safe disposal of electronics.
- Seek opportunities to conserve energy and resources and engage in actions that promote sustainability.













## Compliance with Our Code

Our company
Culture depends on
following Our Code,
asking questions and
raising concerns.

**U** unisys

Our Workplace Our Business Dealings Our Assets and Information

Society and Governments

Compliance and Speaking Up



## Conducting our Business

## with Integrity

Our Code does not contain everything you will need to know about conducting our business with integrity and a clear commitment to complying with our policies and the law. You must exercise good judgment, seek further guidance from our available resources, ask questions and, where something does not look or feel right, raise concerns.

You are expected to always act in accordance with our Code, and failure to do so could result in discipline, including termination of employment.







## Speaking Up

We all have a responsibility to speak up if we have an ethics concern or suspect that someone we work with, work for or contract with is violating our policies, behaving illegally or acting unethically. We should speak up even if we believe that the issue has been resolved. If you are in doubt, ask for guidance.

## No Retaliation

Unisys does not tolerate retaliation. It can be uncomfortable to act with integrity, and speaking up can take courage. That is why we do not tolerate retaliation for reports made in good faith. Anyone who retaliates against an associate for reporting concerns or participating in an investigation will be subject to disciplinary action, up to and including termination.

If you experience retaliation, or you know of someone else being subject to retaliation, you should speak up and report your good faith concerns immediately.













Our Workplace Our Business Dealings Our Assets and Information

Society and Governments

Compliance and Speaking Up



## Investigations

We take all reports made in good faith seriously and investigates them promptly, fairly, confidentially and with every effort to protect your identity. Associates should cooperate with any Unisys investigation conducted by the Ethics & Compliance Office, Associate Relations, Human Resources or the Legal Department. Government agencies and other third parties may also request information from or about Unisys. If an outside party contacts you in an investigation, be courteous and respectful, but forward any requests immediately to the Ethics & Compliance Office or the Legal Department.

### Associates must:

- Cooperate in any investigation: answer questions truthfully, and promptly provide all information requested.
- · Never investigate matters yourself.
- Examine your actions to ensure you do not intentionally or unintentionally retaliate against anyone involved an investigation.

## The Investigations Process



Associates must report any known or suspected violation of the Code or related policy. There are multiple channels for reporting, including the Unisys Compliance Helpline. Allegations must be made in good faith. Anonymous

reports are possible.



The Ethics &
Compliance Office will
review the report. The
office investigates all
allegations that, if
proven true, would be a
violation of the Code or
related policies are
investigated. An
investigator is assigned
to the matter, and an
investigation plan is
prepared.



The matter is investigated by a trained internal investigator or, in rare cases, by external experts. The objective is to establish relevant facts. Investigations are conducted impartially and fairly on the company's behalf.



The Ethics &

Compliance Office

assesses the facts

established and

determines whether

the allegations are

substantiated.

Close the Disciplinary and remedial Measures

The Ethics &
Compliance Office
makes disciplinary
recommendations for
any substantiated
violations, implements
or recommends
remedial measures and
follows up if there are
potential retaliation
concerns.



The Ethics &
Compliance Office
communicates the
closure of the
investigation to the
Reporter.













## **Oversight**

The Unisys Leadership Team and the Board of Directors are responsible for overseeing the Ethics and Compliance Program and compliance with our Code.

## **Enforcement and Waivers**

Violation of our Code may result in disciplinary action, up to and including termination of employment. Waivers to provisions of our Code must be requested in writing sent to the Unisys Chief Compliance Officer and are not valid until approved by the Chief Compliance Officer and the General Counsel. Only the Board of Directors may waive a provision of our Code for a member of the Leadership Team or an officer of the company. Any waiver that is granted to an officer or a leader will be publicly disclosed as required by NYSE listing requirements and applicable laws, rules and regulations.







## How to Speak Up

There are several ways to report a concern or ask a question. Where permitted by law in your country, your report may be made anonymously through the Unisys Compliance Helpline, or you can ask the Ethics & Compliance Office to treat your report as anonymous.

Choose the reporting option you are most comfortable using, and trust that whichever option you choose, your confidentiality will be protected.

### How to Report a Concern Internally

All associates are expected to identify and speak up about behavior they believe could violate our Code or related policies through one of several reporting channels. The channel you select to report a concern may depend on the circumstances, the involved parties, and your comfort level.



Immediate or senior level Leaders, Human Resources, Associate Relations, the Ethics & Compliance Office, or the Legal Department



The Unisys Compliance Helpline (www.Unisyscompliance.com)



Call Center (U.S. toll-free 800-732-3247 / Visit www.UnisysCompliance.com for local numbers outside the U.S.)



~ComplianceEthicsOffice@unisys.com



Ethics & Compliance Office, Unisys Corporation, 801 Lakeview Drive, Blue Bell, PA 19422 USA



Integrity

is in our code.



Have a question or concern? The Compliance helpline is a global, multilanguage website for reporting potential wrongdoing that also includes international toll-free phone numbers. Use it to ask a question or let us know about a potential violation of a policy or our Code of Ethics and Business Conduct. No adverse action or retribution will be taken against you for reporting a potential problem in good faith.

www.UnisysCompliance.com



Our Workplace Our Business Dealings Our Assets and Information

Society and Governments

Compliance and Speaking Up



This document constitutes Ethics Policy 1.0, effective 7/31/2024 and supersedes any prior versions. Printed versions of this document may not be current; verify the current version on the Unisys Policies & Procedures website before taking action. The English version is the prevailing version of this document. However, it is specified that in the circumstances where there would be discrepancies between this code and mandatory local laws, local laws will apply.



## unisys.com

© 2024 Unisys Corporation. All rights reserved.

Unisys and other Unisys product and service names mentioned herein, as well as their respective logos, are trademarks of Unisys Corporation. All other trademarks referenced herein are the property of their respective owners.